SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

iled pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Harper Angela				Issuer Name and T Veritex Holding			(Checl	ationship of Rep (all applicable) Director Officer (give t) to Issuer 0% Owner ther (specify	
(Last) C/O VERITE 8214 WESTC		1	02	Date of Earliest Tra 2/05/2021	nsaction (Mor	nth/Day/Year)	X	EVP, Credit Risk Officer		elow)
8214 WESTCHESTER DRIVE, SUITE 800 (Street) DALLAS TX 75225 (City) (State) (Zip)				If Amendment, Date	e of Original F	iled (Month/Day/Year)	6. Indiv Line) X		roup Filing (Che One Reporting More than One	Person
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1. Title of Secur	ity (Instr. 3)		2. Transaction	2A. Deemed	3. Transaction	4. Securities Acquired (A) o		Amount of	6. Ownership	

1. The of Security (inst. 5)	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					Securities Beneficially Owned Following	Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	02/05/2021		S		2,348	D	\$28.18	0	Ι	By Veritex Community Bank ESOP
Common Stock								37,505	D ⁽¹⁾⁽²⁾⁽³⁾	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The reporting person's original Form 3, and two subsequently filed Form 4s incorrectly reported 10,000 shares of Issuer common stock as owned directly through a personal IRA. As indicated in this Form 4, the reporting person holds 5,000 shares of Issuer common stock in her personal IRA.

2. 10,000 shares held in the name of Pershing LLC as custodian of the reporting person's personal IRA.

3. Shares held in the name of Pershing LLC custodian of personal IRA.

Remarks:

<u>/s/ C. Malcolm Holland, III,</u> by power of attorney

02/09/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.